

# MATH 552 Algebraic Geometry I

## Nov 21 Recap

Throughout this recap,  $X$  is a scheme of finite type over a field  $k$  with  $k = \bar{k}$ , and  $x \in X$  is a closed point.

Our goal is to define the Zariski tangent space to  $X$  at  $x$ , denoted by  $T_x X$ .

$T_x X$  is a local notion, so we will assume that  $X = \mathbb{V}(I) \subseteq \mathbb{A}_k^n$ .

Moreover, we may choose coordinates so that  $x = (0, \dots, 0) \in \mathbb{A}_k^n$ .

**Definition.** ( $df|_0$ )

Given  $f \in k[x_1, \dots, x_n]$ , define  $df|_0 := \sum_{i=1}^n \frac{\partial f}{\partial x_i}(0) x_i$

**Remarks.**

- $df|_0$  = (linear part of  $f$  in the Taylor expansion of  $f$  at 0).
- $df|_0 = f_1$ , where  $f = f_0 + f_1 + \dots + f_d$  is a decomposition of  $f$  as the sum of its homogeneous components.
- $d(fg)|_0 = g(0)df|_0 + f(0)dg|_0$  for all  $f, g \in k[x_1, \dots, x_n]$ .

**Four definitions of  $T_0 X$ .**

- $T_0 X :=$  (union of lines through 0 in  $k^n$  that are tangent to  $X$  at 0). (Notion of tangent lines is defined later.)
- $T_0 X := \mathbb{V}(df|_0 \mid f \in I) \subseteq k^n$ .
- $T_0 X := (\mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2)^\vee := \text{Hom}_k(\mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2, k)$ .
- $T_0 X := \text{Der}_k(\mathcal{O}_{X,0}, k) := \{\text{point derivations } d : \mathcal{O}_{X,0} \rightarrow k \text{ (i.e. } k\text{-linear maps with } d(fg) = f(0)dg + g(0)df)\}$ .

**Remarks.**

- We will show that these 4 definitions give isomorphic vector spaces.
- (3) allows us to define  $T_x X$  intrinsically: For any closed point  $x \in X$ , define  $T_x X := (\mathfrak{m}_{X,x}/\mathfrak{m}_{X,x}^2)^\vee$ .
- In general, we have

$$\begin{aligned} \dim_k T_x X &= \dim_k \mathfrak{m}_{X,x}/\mathfrak{m}_{X,x}^2 \\ &= \text{minimum \# of generators of } \mathfrak{m}_{X,x} \quad (\text{Nakayama}) \\ &\geq \dim \mathcal{O}_{X,x} \quad (\text{Krull's Hauptidealsatz}) \end{aligned}$$

**Definition.** (non-singular/smooth at  $x$ )

$X$  is said to be **non-singular/smooth** at  $x$  if  $\dim_k T_x X = \dim \mathcal{O}_{X,x}$ .

( $\Leftrightarrow \dim_k \mathfrak{m}_{X,x}/\mathfrak{m}_{X,x}^2 = \dim \mathcal{O}_{X,x} \stackrel{\Delta}{\Leftrightarrow} \mathcal{O}_{X,x}$  is a **regular local ring**.)

**Remark.**

When  $X$  is reduced and irreducible,  $\dim_k \mathcal{O}_{X,x} = \dim X$  by  $x$  is closed.

Then, we have  $\dim_k T_x X \geq \dim X$  in general, and that the equality holds iff  $X$  is non-singular at  $x$ .

**Definition.** (tangent lines)

Given  $\alpha := (a_1, \dots, a_n) \in k^n \setminus \{0\}$ , let  $\iota_\alpha := \{t\alpha \mid t \in k\}$  be the line through 0 and  $\alpha$ .

For all  $f \in I$ , write  $f = f_0 + f_1 + \dots + f_d$  (sum of homogeneous components). Note that  $f_0 = 0$  by  $0 \in X$ .

We have  $f(t\alpha) = tf_1(\alpha) + \dots + t^d f_d(\alpha)$ .

Now, let  $n$  be the maximum power of  $t$  such that  $t^n | f(t\alpha)$  for all  $f \in I$ .

Then,  $\iota_\alpha$  is said to be **tangent to  $X$  at 0** if  $n \geq 2$ .

**Examples of  $T_0X$  (in the sense of (1)).**

Consider  $X := \mathbb{V}(y - x^2) \subseteq \mathbb{A}^2$ .

$\iota_\alpha$  with  $\alpha = (a_1, a_2)$  is tangent to  $X$  at 0  $\Leftrightarrow t^2 | ta_2 - t^2 a_1^2 \Leftrightarrow a_2 = 0$

Thus,  $T_0X = x$ -axis.

Next, consider  $Y := \mathbb{V}(y^3 - x^3 - x^2) \subseteq \mathbb{A}^2$ .

$\iota_\alpha$  with  $\alpha = (a_1, a_2)$  is tangent to  $Y$  at 0  $\Leftrightarrow t^2 | t^2 a_2^3 - t^3 a_1^3 - t^2 a_1^2$ . This is true for all  $\alpha$ .

Thus,  $T_0Y = \mathbb{A}^2$ .

**Proof of (1)  $\Leftrightarrow$  (2).**

Since  $df|_0 = f_1$ , we have  $\iota_\alpha$  is tangent to  $X$  at 0  $\Leftrightarrow f_1(\alpha) = df|_0(\alpha) = 0$  for all  $f \in I \Leftrightarrow \iota_\alpha \subseteq \mathbb{V}(df|_0 \mid f \in I)$ .

**Lemma**

Let  $X = \mathbb{V}(I) \subseteq \mathbb{A}_k^n$  and suppose  $0 \in X$ . If  $I = (f_1, \dots, f_r)$ , then  $\mathbb{V}(df|_0 \mid f \in I) = \mathbb{V}(df_i|_0 \mid i = 1, \dots, r)$ .

*Hint:* Given  $f \in I$  and  $g \in k[x_1, \dots, x_n]$ ,  $d(gf)|_0 = g(0)df|_0$

**Theorem. (Jacobian criterion for non-singularity).**

Let  $X = \mathbb{V}(f_1, \dots, f_r) \subseteq \mathbb{A}_k^n$  be reduced and irreducible, and suppose  $0 \in X$ .

Then  $X$  is non-singular at 0

$\Leftrightarrow \text{rank} \left[ \frac{\partial f_i}{\partial x_j}(0) \right]_{i,j} = n - \dim X$

$\Leftrightarrow$  some  $(n - \dim X) \times (n - \dim X)$  minor of  $\left[ \frac{\partial f_i}{\partial x_j}(0) \right]_{i,j}$  has nonzero determinant.

The matrix  $\left[ \frac{\partial f_i}{\partial x_j}(0) \right]_{i,j}$  is given by

$$\begin{bmatrix} \frac{\partial f_1}{\partial x_1}(0) & \cdots & \frac{\partial f_1}{\partial x_n}(0) \\ \vdots & & \vdots \\ \frac{\partial f_r}{\partial x_1}(0) & \cdots & \frac{\partial f_r}{\partial x_n}(0) \end{bmatrix}.$$

*Proof.*

In the sense of (2),  $T_0X = \ker \left[ \frac{\partial f_i}{\partial x_j}(0) \right]_{i,j}$ . Thus,  $\dim_k T_0X = n - \text{rank} \left[ \frac{\partial f_i}{\partial x_j}(0) \right]_{i,j}$ .

Since  $X$  is reduced, irreducible, and non-singular at 0,  $\dim_k T_0X = \dim X$ , implying  $\text{rank} \left[ \frac{\partial f_i}{\partial x_j}(0) \right]_{i,j} = n - \dim X$ .

**Remark.**

In general, we have  $\text{rank} \left[ \frac{\partial f_i}{\partial x_j}(0) \right]_{i,j} \leq n - \dim X$ . The equality holds iff  $X$  is non-singular at 0.

**Example.**

Let  $0 \in X = \mathbb{V}(f) \subseteq \mathbb{A}^n$  be a hypersurface, where  $f \in k[x_1, \dots, x_n]$  is irreducible.

Then,  $X$  is non-singular at 0  $\Leftrightarrow \left[ \frac{\partial f}{\partial x_1}(0) \cdots \frac{\partial f}{\partial x_n}(0) \right]$  has a nonzero entry.

**Proof of (2)  $\Leftrightarrow$  (3).**

Let  $\eta := (x_1, \dots, x_n) \subseteq k[x_1, \dots, x_n]$ . Note that  $I \subseteq \eta$  by  $0 \in X$  and that  $\mathcal{O}_{X,0} = k[X]_{\eta k[X]}$ .

$$\mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2 \cong (\eta/I)/((\eta^2 + I)/I) \cong \eta/(\eta^2 + I) \cong (\eta/\eta^2)/((\eta^2 + I)/\eta^2).$$

$$\eta/\eta^2 \cong (\text{linear forms of } k^n) = (k^n)^\vee.$$

$$(\eta^2 + I)/\eta^2 \cong (\text{subspace of } (k^n)^\vee \text{ generated by } df|_0 (f \in I)).$$

$$\Rightarrow \mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2 \cong (k^n)^\vee / (\text{subspace generated by } df|_0 (f \in I)) \cong (T_0X)^\vee \text{ in the sense of (2)} \Rightarrow T_0X \cong (\mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2)^\vee$$

**Lemma.**

If  $d \in \text{Der}_k(\mathcal{O}_{X,0}, k)$ , then  $\mathfrak{m}_{X,0}^2 \subseteq \ker d$ .

*Proof.*

Let  $f, g \in \mathfrak{m}_{X,0}$ . By  $f(0) = g(0) = 0$ ,  $d(fg) = f(0)dg + g(0)df = 0$ .

**Proof of (3)  $\Leftrightarrow$  (4).**

Given  $d \in \text{Der}_k(\mathcal{O}_{X,0}, k)$ , we may define  $\tilde{d} : \mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2 \hookrightarrow \mathcal{O}_{X,0}/\mathfrak{m}_{X,0}^2 \xrightarrow{\bar{d}} k$ .

$d \mapsto \tilde{d}$  gives us a map  $\text{Der}_k(\mathcal{O}_{X,0}, k) \rightarrow (\mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2)^\vee$ .

On the other hand, given  $\phi \in (\mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2)^\vee$ , we can get  $d\phi : \mathcal{O}_{X,0} \rightarrow k$ . (Check: this map is linear.)

by composing  $\mathcal{O}_{X,0} \rightarrow \mathfrak{m}_{X,0} \rightarrow \mathfrak{m}_{X,0}/\mathfrak{m}_{X,0}^2 \xrightarrow{\phi} k$ , where  $\mathcal{O}_{X,0} \rightarrow \mathfrak{m}_{X,0}$  is given by  $f \mapsto f - f(0)$ .

(Check:  $d\phi \in \text{Der}_k(\mathcal{O}_{X,0}, k)$  and that the map  $\phi \mapsto d\phi$  is an inverse of  $d \mapsto \tilde{d}$ .)

**Remark.**

(3)  $\Leftrightarrow$  (4) canonically.